

## Manuscript Details

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### Abstract

There is a strong need for feedback in conservation policy. Recently, its importance has increased due to climate changes causing remarkable shifts in species distributions; such shifts could shape the effectiveness of a predefined protected area network. Based on twelve-year's citizen-monitoring data (2004–2015) investigated by the legislative-based protected area network (in European Union called Special Protection Areas - SPAs), we evaluated the effectiveness of the network for 28 wintering waterbird species in a central European country, where total numbers are mostly increasing in recent decades. We test the hypothesis that SPAs protect wetland areas suitable for increasing wintering waterbird species. To this end, we use two different approaches: (i) long-term trend and species-specific variables explaining the proportions of numbers in SPAs at the multi-species level and (ii) individual-species changes in numbers inside and outside SPAs. The annual proportions of numbers recorded inside SPAs has been decreasing in studied species from 2004 to 2015 and has not increased as rapidly as the increase in numbers. Within eco-taxonomic groups, we show the high proportion of geese recorded inside SPAs, even though a higher rate of increase in numbers outside SPAs was found in some goose species (Great White-fronted Goose *Anser albifrons* and Greylag Goose *Anser anser*). Conversely, fish-eaters and diving ducks generally show a low preference for SPAs and yet fish-eating Great Cormorant *Phalacrocorax carbo* and Grey Heron *Ardea cinerea* show a higher increase in numbers inside SPAs. Feeding opportunities for expanding species (e.g. geese) in areas outside the protected network most likely exceed the advantages of reduced disturbance in SPAs; on the other hand, the reduced disturbance could be pivotal in possible conflict species (e.g. Great Cormorant and Grey Heron). An overall high proportions of numbers in SPAs for protected species was not confirmed; even EU criteria species do not show a significantly higher increase in numbers inside SPAs, except Smew. The hypothesis assuming SPAs as appropriate areas for wintering waterbirds was not confirmed. Based on two exploitable approaches, the study uses the data of a long-term, citizen, science-monitoring programme to indicate that a high proportions of numbers of individual species in a protected network should not necessarily mean positive changes in species numbers inside the network and vice versa. Recent climate-driven changes in species distributions very likely requires a flexible conservation policy, with decision-making and planning-strategies based on actual monitoring data, and full international cooperation. In light of this, we highlight the enormous importance of volunteer monitoring based on the annual efforts of nonprofessional ornithologists.

<b>Keywords</b>	conservation policy; changes in distribution; protected areas; volunteer monitoring; wetlands; wintering numbers
<b>Taxonomy</b>	Environmental Science, Biological Sciences
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Dear Editor,

We send you the manuscript entitled:

**“Importance of Special Protection Areas for wintering waterbirds evaluated by annual citizen science-monitoring programme: multi-species and individual-species approach”**

Based on twelve-year's of volunteers' data, we evaluate using two approaches the effectiveness of Special Protection Areas for wintering waterbird assemblages in a central European country, where total numbers are increasing in recent decades. We highlight the necessity of extension of the network; hence the increase in numbers could be more rapid than proportion of numbers in SPAs.

We decided to submit the manuscript considering the feedback of conservation policy to Biological Conservation in line of the journals 'aims and scopes emphasized.

We would like to state that (i) the manuscript has not been published or submitted for publication elsewhere and (ii) all authors have contributed to designing and/or performing the research and writing the manuscript, and have read and approved the manuscript prior to submission.

Yours sincerely,

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1 Importance of Special Protection Areas for wintering waterbirds evaluated by annual citizen  
2 science-monitoring programme: multi-species and individual-species approach

3

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9

10 Abstract

11 There is a strong need for feedback in conservation policy. Recently, its importance has  
12 increased due to climate changes causing remarkable shifts in species distributions; such  
13 shifts could shape the effectiveness of a predefined protected area network. Based on twelve-  
14 year's citizen-monitoring data (2004–2015) investigated by the legislative-based protected  
15 area network (in European Union called Special Protection Areas - SPAs), we evaluated the  
16 effectiveness of the network for 28 wintering waterbird species in a central European country,  
17 where total numbers are mostly increasing in recent decades. We test the hypothesis that  
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30 the advantages of reduced disturbance in SPAs; on the other hand, the reduced disturbance  
31 could be pivotal in possible conflict species (e.g. Great Cormorant and Grey Heron). An  
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36 term, citizen, science-monitoring programme to indicate that a high proportions of numbers of  
37 individual species in a protected network should not necessarily mean positive changes in  
38 species numbers inside the network and vice versa. Recent climate-driven changes in species  
39 distributions very likely requires a flexible conservation policy, with decision-making and  
40 planning-strategies based on actual monitoring data, and full international cooperation. In  
41 light of this, we highlight the enormous importance of volunteer monitoring based on the  
42 annual efforts of nonprofessional ornithologists.

43

44 Key words: conservation policy, changes in distribution, protected areas, volunteer  
45 monitoring, wetlands, wintering numbers

47 Introduction

48 Migratory birds require effective management for critical sites throughout their annual cycle  
49 (Pullin 2002, Hegemeijer 2006, Donald et al. 2007, Kirby et al. 2008) including the  
50 conservation of non-breeding areas (Sutherland et al. 2004). Sustainable conservation, in  
51 particular migratory bird conservation, still presents unresolved problems that call for  
52 decisions based on scientific research. Such research - often based on volunteers' monitoring  
53 efforts - should also make it possible to assess the effectiveness of conservation measures  
54 taken internationally (Sutherland et al. 2004), as recognised in the text of the globally-  
55 respected Convention on Biological Diversity ([www.cbd.int](http://www.cbd.int)). International conservation  
56 policy at the flyway level can bring measurable conservation benefits for species (Pullin 2002,  
57 Sinclair et al. 2006, Donald et al. 2007), not least because international cooperation is  
58 essential throughout the areas used by populations (O'Connell et al. 2006, Hagemeyer 2006).  
59 As a consequence of this 'flyway approach' (for term definition, see Boere and Stroud 2006,  
60 Hagemeyer 2006; see also Lehikoinen et al. 2013, Pavón-Jordán et al. 2015), the European  
61 Union's legislation ensures biodiversity conservation through the Birds and Habitat Directives  
62 (The Council Directive 2009/147/EC). The Birds Directive requires Member States to select  
63 the most suitable sites and designate them as Special Protection Areas (SPAs). Sufficient sites  
64 need to be designated so as to form a coherent network for vulnerable and migratory species  
65 throughout the annual cycle (Donald et al. 2007). This SPA network is also being used to  
66 protect wintering populations and their environment; however it was not primarily designed  
67 for the purposes of wintering waterbirds.

68 The current networks of worldwide protected areas (Chape et al. 2008) could soon become  
69 inadequate in light of recent climate changes and the corresponding distributional shifts in  
70 wintering ranges (Lovejoy 2006, Thomas et al. 2012, Guillemain et al. 2013, Mason et al.  
71 2015). Waterbird species are already responding to rapid changes in climate (Crick 2004,

72 Møller et al. 2010) as seen by altered distributions and numbers (Thomas and Lennon 1999,  
73 Maclean et al. 2008, Lehikoinen et al. 2013). Even given the strong necessity for adequate  
74 feedback from conservation policy (Sutherland et al. 2004, Donald et al. 2007, Møller et al.  
75 2010, Albuquerque et al. 2013, Lehikoinen et al. 2013, Pavón-Jordán et al. 2015, Thomas and  
76 Gillingham 2015), relatively few studies are evaluating the effectiveness of the protected area  
77 network in its non-breeding areas in the light of range changes and the increasing effect of  
78 climate change on birds (Johnston et al. 2013, Pavón-Jordán et al. 2015).

79 Here, we focus on wintering waterbirds as an internationally important bird assemblage  
80 (Gilissen et al. 2002), a group that has been pivotal to the legal classification of SPAs in  
81 Europe (Heath et al. 2000). For evaluating the effectiveness of this SPA network, we have  
82 looked into the twelve-year period immediately since the Birds Directive (The Council  
83 Directive 2009/147/EC) was implemented in the Czech legislation, as an example of a Central  
84 European Member State integration since 2004. After the Directive's implementation, 41  
85 selected areas were expected to ensure species protection in the Czech Republic, including  
86 wintering grounds (Chvátal 2009). Even though the majority of wintering grounds have  
87 traditionally been found in the coastal areas of northwest Europe, the Baltic Sea and the  
88 Mediterranean region (Gilissen et al. 2002, Rendón et al. 2008, Jackson et al. 2009, van  
89 Roomen et al. 2012), the importance of central Europe has been increasingly recognized for  
90 wintering waterbird populations in recent decades (Fox et al. 2010, Keller 2011, Musil et al.  
91 2011, Pavón-Jordán et al. 2015); a change likely attributable to distributional shifts caused by  
92 recent climate change (Lehikoinen et al. 2013). We aim to contribute to some key questions:  
93 which species prefer the existing SPA network and how effective has it been for individual  
94 species over the twelve-year period when considering the modified distribution attributed to  
95 climate change? We used the monitoring data of wintering waterbirds - the International  
96 Waterbirds Census (later IWC) during the twelve-year period since implementation of the



97 Birds Directive in the study area (2004–2015). This monitoring programme, though  
98 volunteer-based, has a long tradition and is regularly organised; it is mainly aimed at  
99 population size estimates and individual wetlands importance assessment (Gilissen et al.  
100 2002, Wetlands International 2015).

101 Based on detailed records of individual wetlands in the Czech Republic, we use two  
102 approaches as an aid to establish the importance of wetlands inside the protected area network  
103 (SPAs), both at the multi-species and individual-species level. In the first step, species-  
104 specific variables (i.e. conservation status, population size and trend, geographical  
105 distribution, water-type specialisation and eco-taxonomic group) were supposed to answer the  
106 question: which species prefer the protected site network by assessing the annual proportions  
107 of numbers in SPAs. In the second step, individual-species trends in numbers calculated on  
108 wetlands inside and outside SPAs should indicate how particular species changed its  
109 distribution considering SPAs.

110 Protected areas should act as special site refuges that facilitate both species' wintering  
111 requirements (Ridgill and Fox 1990, Pullin 2002, Sinclair et al. 2006) and the range  
112 expansions caused by recent climate change (Thomas et al. 2012). Therefore, we hypothesize  
113 that SPAs are currently protecting the appropriate areas (The Council Directive 2009/147/EC;  
114 see also Sutherland et al. 2004, Devictor et al. 2007, Donald et al. 2007, Thomas et al. 2012,  
115 Hiley et al. 2013, Smart et al. 2014, Kukkala et al. 2016). Based on this hypothesis, we draw  
116 three predictions. (1) We predict the long-term increase in proportions of numbers in SPAs  
117 relative to non-protected sites. (2) When species increasing in numbers, we predict higher  
118 increase in proportions of numbers in SPAs than outside SPAs. (3) In the individual-species  
119 level, we predict prevailing higher rate of increase or else lower rate of decrease in SPAs than  
120 outside SPAs.

121

122 Methods

123 Waterbird data

124 Count numbers for 28 of the most common waterbird species annually exceeding 50  
125 wintering individuals in the study area (see Table 1 for list of species) were taken from results  
126 of the International Waterbird Census (IWC). IWC is a worldwide-coordinated census  
127 conducted by individual countries and organised by Wetlands International in mid-January  
128 each winter on predetermined dates and sites with the aim to maximize synchrony (Gillisen et  
129 al. 2002). The count date is considered the coldest period of winter when food and  
130 thermoregulatory effects on wintering species distribution is most apparent (Ridgill and Fox  
131 1990, Dalby et al. 2013). About 350 volunteer birdwatchers annually contribute to the  
132 monitoring in our (Czech) study area. They are mostly non-professional ornithologists or  
133 voluntary professionals monitoring in their own time. The methodology requires a single  
134 count at each site each winter, optimally conducted by the same person in consequent winters.  
135 The high quality of the IWC data has been proved in recently published studies (e.g. Fox et al.  
136 2010, Lehikoinen et al. 2013, Musilová et al. 2014, Pavón-Jordán et al. 2015, Musilová et al.  
137 2015). We analysed the records of 991 wetlands in the Czech Republic since the year 2004,  
138 when the Special Protection Areas were declared by the Czech government directives, up to  
139 the recent year 2015. The protected network covers 41 SPAs and 8.9 % of the total area of the  
140 Czech Republic (Chvátal 2009). In total, we included 120 wetlands located in SPAs and 871  
141 wetlands outside the SPA network (Fig. 1). Counted wetlands were chosen in aim to achieve  
142 almost evenly coverage of the study area by monitoring scheme (Musilová et al. 2014), see  
143 Figure 1 for details. The wetlands ranged from both standing waters (reservoirs, fishponds,  
144 gravel and sand-pit lakes, and industrial settling ponds) and running waters (rivers and  
145 streams). For running waters, sites were defined as river sections with well-defined  
146 boundaries, such as dams, weirs and bridges (for the list of wetland habitats in Czech

147 Republic, see Chytil et al. 1999). The three gull species Herring Gull *Larus argentatus*,  
148 Caspian Gull *Larus cacchinnans* and Yellow-legged Gull *Larus michahellis* were termed  
149 ‘large gulls’, and hereafter are treated as one single species, in accordance with the former  
150 taxonomic situation valid at the beginning of the monitoring programme and regarding the  
151 possible problem with field identification (Rose 1995, Musil et al. 2011, Musilová et al. 2014,  
152 Wetlands International 2015,). Bird names follow the Avibase Clements Checklist  
153 (<http://avibase.bsc-eoc.org>).

154

#### 155 Species-specific variables

156 All investigated waterbird species were described using seven species-specific variables that  
157 might explain their pattern of annual proportions of numbers in SPAs (see Table 1); these  
158 variables are defined below. (1) Species were divided into three groups according to their  
159 conservation and hunted status: *protected non-hunted species*, *hunted species* and *non-hunted*  
160 *species*. The conservation status of particular species was classified according to their listing  
161 in Annex I of the Birds Directive (Council Directive 2009/147/EC on the conservation of wild  
162 birds) as well as the classification of species under the Czech legislation Act of Protection of  
163 Nature and Landscape No. 114/92 Coll. and Regulation No. 395/1992 Coll., Annex No. III  
164 (list of Specially Protected Animals; Hudec et al. 1999). ‘Hunted species’ indicated a species  
165 allowed to be hunted in the Czech Republic (listed in Hunting Act No. 449/2001 Coll.). The  
166 waterbird hunting period finish before the census term in the study area as well as in  
167 neighbouring countries (Mooij 2010). (2) *The flyway population size* and (3) *Flyway*  
168 *population trends* (i.e. trend in numbers of a species in the flyway of the Western Palearctic)  
169 were obtained from Waterbird Population Estimates (Wetlands International 2015). For  
170 population trends in the Western Palearctic -1, 0 and +1 values were included, where -1  
171 indicated a decreasing trend, 0 a stable population, and +1 an increasing trend. Moreover,

172 estimates of breeding population size and trends in the breeding population (Birdlife  
173 International 2004) were used for White-tailed Sea-eagle *Haliaeetus albicilla*, Common  
174 Kingfisher *Alcedo atthis* and White-throated Dipper *Cinclus cinclus*, whose data are not  
175 included in Waterbird Population Estimates (Wetlands International 2015). These three  
176 species are both breeding and wintering in Europe (Snow and Perrins 1998) and therefore  
177 their total population size and population trends were taken from their breeding population  
178 data (Birdlife International 2004). (4) Time totals (see below) in an individual year were used  
179 as an estimate of numbers of wintering birds a species in the Czech Republic (henceforth  
180 *Czech population estimate*). (5) The geographical distribution of a species was classified  
181 using the *latitudinal midpoint* (Lemoine et al. 2007), i.e. the mean of the southernmost and  
182 northernmost latitudes of a species' breeding range (Snow and Perrins 1998). Latitudinal  
183 midpoint was used to explain the proportions of numbers in SPAs for species with different  
184 geographical range. (6) We consider the suitability of the SPA network for species inhabiting  
185 different wetland types. For each species, we therefore calculated an index of water-type  
186 specialization in the following manner. In accordance with Musil et al. (2011), we classified  
187 all sites into four habitat categories: rivers and streams, reservoirs, fishponds, and industrial  
188 waters. Next, we calculated the proportion of sites in each category (water type proportions)  
189 and the fraction of the given species' numbers that have been observed on sites of individual  
190 categories (count proportions). The *water type specialization index* is defined as Pearson's  $\chi^2$   
191 statistic of the test of equivalence of (empiric) count proportions and (expected) habitat  
192 proportions. (7) Waterbird species were divided into six *eco-taxonomic groups*: fish-eating  
193 birds, geese, dabbling ducks, diving ducks, gulls, and others (see Snow and Perrins 1998), as  
194 used previously in Musil et al. (2011).

195

196 Statistical analysis

197 We used log-linear Poisson regression analysis to impute any missing 2011–2015 IWC  
198 waterbird count data from the long-term IWC data series (1966–2014) using Trends and  
199 Indices for Monitoring data (TRIM) software (Statistic Netherlands version 3.52, Pannekoek  
200 and Van Strien 2005) in two cases: to calculate the Czech population estimate (see also  
201 Musilova et al. 2014) and the individual-species trend in numbers. Regression parameters  
202 were estimated using generalized estimating equations (GEE). Missing data was usually the  
203 result of incomplete coverage due to limited availability of volunteers in some seasons. Serial  
204 correlations between annual numbers and over-dispersion in the data were also taken into  
205 account. The models used included change points to allow for changes in the slope parameters  
206 at some points in the time series (Pannekoek and Van Strien 2005, Fouque et al. 2007, 2009).  
207 ‘Time Totals’ values (hereafter used as Time Totals) of the IWC data (*i.e.* the actual count  
208 values plus the numbers of birds estimated for non-covered sites by the TRIM software) for  
209 all 991 sites included in the analysis were used to generate an estimate of the Czech  
210 population size of a species (termed Czech population estimate). The overall slope (*i.e.* the  
211 change in indices from one year to the next) was used to estimate the individual-species trend  
212 in numbers inside and outside the SPA network and then categorised depending on whether  
213 the rate of change was more or less than 5% per year: strong increase or decrease (>5% per  
214 year); a moderate increase or decrease (<5% per year); and a stable (trend is not significant  
215 and CIs were sufficiently narrow) or an uncertain trend (wide CI), see also Fouque et al.  
216 (2009) and Musil *et al.* (2011). The Wald test was used to test the significance of differences  
217 in rate of changes in numbers inside and outside the SPA network. We classified all  
218 investigated wetlands as SPA/non-SPA and use this category as an individual covariate in the  
219 linear trend models (see also Pavón-Jordán et al. 2015).

220 The number of individuals observed on SPA sites was modelled as a binomial outcome  
221 (termed *proportions of numbers in SPAs*), with the number of trials corresponding to the

222 number of observed individuals of a given species in a given year. The effect of all the  
223 investigated variables was estimated using a multilevel (or mixed) generalized linear model  
224 with a logit link function and species-specific random effects. More concretely, we estimated  
225 the model

$$226 \quad \text{logit}(p_{is}) = \mathbf{x}_{is}\boldsymbol{\beta} + \varepsilon_s,$$

227 where  $p_{is}$  the probability that an individual  $i$  of species  $s$  is recorded on an SPA site (as  
228 opposed to a non-SPA site),  $\mathbf{x}_{is}$  is the (row) vector of values of independent variables in an  
229 individual  $i$  of species  $s$ ,<sup>1</sup>  $\boldsymbol{\beta}$  is the estimated (column) vector of parameters, and  $\varepsilon_s$  is the  
230 species-specific random error. Due the nonlinear nature of the model, the values of  $\beta$   
231 coefficients do not interpret easily. In order to facilitate the interpretation, we report the so-  
232 called average partial effects (*APE*) instead of the regression coefficients (Wooldridge, 2010).  
233 Such a measure is being used heavily in social sciences (Cameron and Trivedi 2005,  
234 Wooldridge 2010). For instance, if the *APE* on variable  $A$  is 15.5, a unit increase in  $A$  (with all  
235 other variables being held constant) is expected to increase the proportions of numbers in SPA  
236 by 15.5 percentage points.

237 As the number of independent variables is relatively high, we calculated variance inflation  
238 factors (VIFs) to see whether excessive inter-correlation might derail simultaneous use of all  
239 these variables in a multiple regression. The highest VIF value was 4.5 for the variable  
240 indicating protected non-hunted species; this is below the usual threshold value of 10, but still  
241 indicates some degree of correlation. Therefore, although we did use all independent variables  
242 in the regressions, we let them enter our regressions in a hierarchical fashion so as to be able  
243 to assess the stability of estimated coefficients (all hierarchical steps are reported in the  
244 Results section). Multilevel regressions were estimated in Stata 13 (StataCorp, College  
245 Station, TX).

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<sup>1</sup> The values of categorical variables (e.g., huntable species or group) were coded into a set of indicator variables for the sake of the regression.

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## Results

### Species-specific preference of SPAs

Among the 120 investigated wetlands inside SPAs and 871 wetlands outside SPAs, we analysed the proportions of numbers of 28 species in SPAs using multilevel generalized linear models. Covering the twelve years since the Birds Directive implementation (2004–2015), the proportions of numbers in the SPA network was generally decreasing by 0.151% per year (Table 2, average partial effects on Year;  $APE = -0.151$ ,  $P < 0.001$ ). The decrease of SPA proportions is also noticeable from the trend curves in Figure 2 (e.g., in Common Teal *Anas crecca* and Eurasian Wigeon *Anas penelope*). Flyway population size (log-transformed) significantly affected a species' proportion in SPAs ( $APE = -0.391$ ,  $P < 0.001$ ). Species with a lower flyway population size showed a significantly higher proportion in SPAs by 0.391% (e.g. White-tailed Sea-eagle, Smew *Mergellus albellus* and Gadwall *Anas strepera* – Fig. 2). The proportions of numbers inside SPAs was higher in species with a higher Czech population estimate ( $APE = 0.215$ ,  $P < 0.001$ ). If the Czech population estimate of a species increases in numbers by 1%, the proportions of numbers in SPAs of the species increases only by 0.215%. Eco-taxonomic groups varied significantly with respect to proportions of numbers in SPAs (see Fig. 2 for details). Geese winter more frequently inside SPAs ( $APE = 77.89$ ,  $P < 0.05$ ); conversely, diving ducks ( $APE = -0.45$ ,  $P < 0.05$ ), others ( $APE = -0.45$ ,  $P < 0.05$ ; Mute Swan *Cygnus olor*, Little Grebe *Tachybaptus ruficollis*, Eurasian Moorhen *Galinula chloropus*, Eurasian Coot *Fulica atra* and White-throated Dipper) and fish-eaters ( $APE = -0.67$ ,  $P < 0.05$ ) winter more frequently outside the SPA network. The protection/hunting status of the species, flyway population trend in numbers and water-type specialisation index showed no significant effect on proportions of numbers in SPAs (Table 2).

271 Individual-species efficacy of SPAs

272 Significant differences in trends in numbers inside and outside the SPA network were found  
273 in 15 of the 28 investigated species (see Table 3 for details); the trend inside SPAs showed a  
274 positive change in eight of them (predominantly fish-eating species) and a negative change in  
275 seven of them (predominantly herbivorous species). The overall fluctuating Smew, listed as  
276 an Annex I species, showed an increase inside while at the same showing a decrease outside  
277 SPAs. The Tufted Duck *Aythya fuligula* and Eurasian Coot were found stable in total and  
278 increasing inside SPAs. Higher increases in numbers inside SPAs than in their totals were  
279 found in Common Goldeneye *Bucephala clangula*, Goosander *Mergus merganser* and Great  
280 Crested Grebe *Podiceps cristatus*. The overall decreasing Great Cormorant *Phalacrocorax*  
281 *carbo* increased inside while decreasing outside SPAs, whereas the decreasing Grey Heron  
282 *Ardea cinerea* was stable inside and decreasing outside SPAs. Four herbivorous species  
283 (Great White-fronted Goose, Greylag Goose, Eurasian Wigeon *Anas penelope* and Gadwall)  
284 showed an increase in numbers in total while having significantly lower rate of increase inside  
285 SPAs. The overall stable Common Teal *Anas crecca* showed a decrease inside SPAs and an  
286 increase outside SPAs. More negative trends in numbers were found in the increasing Mew  
287 Gull *Larus canus* and the stable 'large Gulls', even though fluctuating inside SPAs. Among  
288 the three remaining Annex I species, Great White Egret *Ardea alba*, White-tailed Sea-eagle  
289 and Common Kingfisher showed no significant changes in numbers inside and outside SPAs.

290

291 Discussion

292 Evaluating the effectiveness of conservation policy and management among protected area  
293 networks is of considerable worldwide importance and should be undertaken using relevant  
294 sources of knowledge that cover the changes in distribution and numbers of species (Pullin  
295 2002, Donald et al. 2007). In our study, we used the data of a citizen, science-monitoring



296 programme of long tradition; this volunteer-based programme annually collects valuable  
297 information about the wintering distribution of waterbirds covering the whole study area.  
298 Based on two approaches, we test the hypothesis that the protected area network (SPAs)  
299 protects appropriate areas for the most common waterbird species. The first multi-species  
300 analysis helped to test the predictions that proportions of numbers in SPAs will increase over  
301 12 year period and potentially increasing waterbird numbers will show higher increase in  
302 proportions of numbers inside than outside the SPA network. Actually, the prevailing increase  
303 in numbers was demonstrated at the individual-species level in the study area. The predictions  
304 was not supported, since the proportions of numbers slightly decrease over 12 years and the  
305 increase in numbers was found to be more rapid than the increase of proportions inside SPAs:  
306 a 1% increase in numbers compared to only a 0.21% increase of proportion inside SPAs. The  
307 second analysis brought more detailed insights to the first analysis, evaluating future changes  
308 in numbers of individual species. However, the predicted prevalence of higher rate of increase  
309 or lower rate of decrease inside SPAs was not showed, instead the positive and negative  
310 trends inside SPAs were almost equivalent. Given a defined annually-monitored study area,  
311 these two approaches are applicable for an assessment of the efficacy of protected areas for  
312 defined groups of species.

313 In our study area, the hypothesis that SPAs protect appropriate areas for wintering waterbirds  
314 was not confirmed using two approaches. The documentation for the designation of the Czech  
315 SPA network was prepared in 2002 with the aim of proposing the most important areas for  
316 breeding bird species, as well as areas with occurrences of migrating species - overwintering  
317 grounds, migration stopovers, gathering and moulting grounds (Chvátal 2009). Thus  
318 wintering waterbird assemblages were not disregarded in the conservation policy declaration  
319 for this given region. However, more recently, conservation policy has undoubtedly come  
320 under pressure due to the changes in species' ranges caused by climate change (Austin and

321 Rehfisch 2005, Lehikoinen et al. 2013, Brambilla et al. 2015, Pavón-Jordán et al. 2015); such  
322 climate change is expected to bring higher global mean temperatures and greater frequencies  
323 of extreme events (Lovejoy 2006, Beniston et al. 2007, IPCC 2007, Coumou and Rahmstorf  
324 2012). No doubt the distributional shifts of waterbirds driven by climate change are likely to  
325 occur both more strongly and rapidly during the wintering period (Guillemain et al. 2013),  
326 while the distribution will become more temperature-dependent (Ridgill and Fox 1990, Adam  
327 et al. 2015). Here we have demonstrated that the rapidity of such waterbird distributional  
328 changes could therefore shape the effectiveness of conservation management when  
329 preferences for SPAs have not increased as much as species numbers (see also Rodrigues et  
330 al. 2004, Guillemain et al. 2013, Pavón-Jordán et al. 2015), particularly comparing wintering  
331 and breeding monitoring data. With regard to breeding populations, the important role of  
332 protected areas as establishment centres for range-shifting, newly-colonizing species has been  
333 shown for the UK (Hiley et al. 2013).

334 However, overall decreasing proportions of numbers inside SPAs, and decreasing numbers of  
335 some waterbird species in SPAs, does not necessarily mean that there has been a decrease in  
336 the quality of SPAs; rather it could simply be due to increases in the suitability of other sites  
337 outside the SPA network (Stillman et al. 2010). For the given region of the Czech Republic,  
338 in central Europe, the decreasing proportions of numbers in SPAs do not necessarily indicate  
339 some uncertainty in SPA designation. While numbers of waterbird species are mainly  
340 increasing here (Musil et al. 2011), this is a likely a consequence of the increasing importance  
341 of central Europe for wintering waterbirds (Fox et al. 2010, Keller and Burkhardt 2011,  
342 Pavón-Jórdan et al. 2015). At the same time, we note that in a previous study (Musilová et al.  
343 2015) density-dependent regulation has been indicated, mean total numbers per site having  
344 not increased since the 1990s whereas waterbird numbers have been increasing in areas  
345 traditionally deemed 'cold'. In line with these findings, we suppose that the further

346 designation of some additional important sites (outside the current SPA network) that would  
347 cover species habitat requirements could help to protect waterbirds on their wintering grounds  
348 and increase the overall effectiveness of conservation management. Moreover, SPA  
349 designation should consider the behaviour and habitat requirements of the species concerned,  
350 as well as the effects of human disturbance (López-López et al. 2007, Briggs et al. 2012);  
351 certainly the population dynamics of species along with habitat changes bring together a more  
352 complicated issue (Stillman et al. 2010, Hiley et al. 2013, Guillemain et al. 2013).

353 Focusing on our four criteria species (Annex I), we demonstrated that the current SPA  
354 network does not generally serve as 'safe refuges' that facilitate their wintering requirements  
355 and their environmental- and climate-dependent range changes (Donald et al. 2007, Thomas  
356 et al. 2012). High proportions of numbers in SPAs were found in Smew, White-tailed Sea-  
357 eagle and Great White Egret. However, the long-term changes inside SPAs did not show  
358 significantly higher rates of increase in numbers when compared to sites outside the network  
359 for the Great White Egret, White-tailed Sea-eagle and Common Kingfisher. These species  
360 likely do not follow the advantages of SPAs covering reduced disturbance and human  
361 development pressure. The only exception was Smew, since trends in wintering numbers are  
362 positive in SPAs but negative outside SPAs. This finding is in line with the study of Pavón-  
363 Jordán et al. (2015) covering the north-eastern and south-western parts of the Smew flyway.  
364 Nevertheless, the overall legislative protection status of the species proved of low importance  
365 in SPA preference. However, by way of contrast, species of smaller populations would appear  
366 to prefer SPAs. Two of these low-population species (White-tailed Sea-eagle and Smew)  
367 belong to the highlighted species of Annex I.

368 Focusing in detail on geese and their wintering distributions, there is a significantly high  
369 preference, as well as high proportions of numbers, for SPAs. But, conversely, there has been  
370 a significantly higher increase in numbers outside SPAs, as shown for Great White-fronted

371 and Greylag Goose. Wintering geese could therefore be moving to other suitable sites outside  
372 the SPAs due to the effects of density-dependent regulation within SPAs, as numbers of geese  
373 have been increasing both in the Western Palearctic and especially in central Europe (Madsen  
374 et al. 1999, van Eerden et al. 2005, Delany and Scott 2006, Fox et al. 2010, Musil et al. 2011)  
375 in recent decades. New unprotected wintering areas with sufficient feeding opportunities will  
376 most likely be of increasing relevance, especially when the requirement for sufficient ice-free  
377 freshwater could also be available (as highlighted in Adam et al. 2015, Musilová et al. 2015).  
378 Annually, wintering flocks of geese could comprise thousands of individuals (Musilová et al.  
379 2014) since this may also explain the higher preference for SPAs – with the higher population  
380 size in the given area. As a consequence, increases in geese numbers outside SPAs could  
381 bring increasing damage to winter-crops in agriculture areas, and thus fuel conflicts with  
382 agro-economic interests (Jensen et al. 2008). Since no general, flexible framework for  
383 providing compensation in these areas currently exists, farmers' efforts to control geese  
384 numbers feeding on crops could escalate. However, when we take into consideration the  
385 feeding biology of these species, geese form a unique group that feed outside wetland areas in  
386 winter and are thus not strictly dependent on the food composition of wetlands (Reed 1976,  
387 Fox et al. 2005, Gauthier et al. 2005). Geese fly to feeding grounds near first light and stay for  
388 most of the day (Owen and Black 1990). Moreover, waterbirds including geese are  
389 undoubtedly more greatly affected by disturbance in their wintering grounds (see review by  
390 Vickery and Gill 1999, Evans and Day 2001) and this fact could be the cause of the high  
391 preference of geese for SPAs serving as night roosts in our study area. Food accessibility  
392 seems to be an important factor affecting the distribution of wintering waterbirds (Newton  
393 1998, Newton 2013) as has been previously indicated for dabbling ducks (Dalby et al. 2013).  
394 Most likely the accessibility of food in wetlands would explain the low preference of diving  
395 ducks for SPAs, as these groups feed strictly inside wetlands. Previous studies have

396 confirmed a higher rate of increase in numbers in running rather than standing waters (Musil  
397 et al. 2011). Regardless of this, a more positive trend in numbers within SPAs rather than  
398 outside the network was found in Tufted Duck and Common Goldeneye among diving duck  
399 species. Similarly, for fish-eaters, their wetland-dependent food ecology and the low  
400 disturbance in SPAs could also shape their distribution, since this group exhibited a low SPA  
401 preference and yet, the reverse, a more positive trend in numbers within SPAs than outside.  
402 Given the differences in preferences and trends in numbers inside and outside SPAs (see  
403 above), our results likely support the previously-published findings of Dalby et al. (2013):  
404 food resources seem to be the main force shaping winter-site choices.

405 The European Unions' Special Protection Areas (SPA) network represents the basis of habitat  
406 conservation for safeguarding populations of migratory waterbirds using East Atlantic flyway  
407 (Directive 2009/147/EC). Nevertheless, migratory waterbirds do not acknowledge state and  
408 site borders; the increasing waterbird numbers in our study area would seem to be the  
409 consequence of increasing numbers at the flyway level (Musil et al. 2011). However, this  
410 study indicates that the increases in numbers could be more rapid than increases in preference  
411 for SPAs and the proportions of numbers in SPAs are slightly decreasing over the study  
412 period. Hence, international cooperation in safeguarding areas is definitely relevant at the  
413 flyway level, since international coordination is required at the level of research, planning and  
414 monitoring, in common standards for legislation, protected area designation and management,  
415 and in the sharing of information (Hagemeijer 2006, Lehikoinen and Virkkala 2016). Studies  
416 that indicate the SPA network as not matching species distribution patterns are quite common  
417 (e.g. López-López et al. 2007, Briggs et al. 2012, Albuquerque et al. 2013), though the  
418 success of conservation programmes has also been demonstrated (Devictor et al. 2007,  
419 Thomas et al. 2012, Hiley et al. 2013, Smart et al. 2014) and this issue urgently calls for  
420 further scientific research. The population dynamics of waterbird species recently driven by

421 environmental and climate changes create new challenges for effective conservation policies  
422 and decision making, and must be necessarily based on regular species monitoring. In line  
423 with this work, regularly-organised, volunteer-based monitoring should serve as an essential  
424 tool in answering our questions about the efficacy of conservation policy.

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658 Table 1. The list of 28 investigated species in our study

Common Name	Protected	Flyway pop. size	Flyway trend	Index	Latitude	Hunted	Group	Czech estimate	% SPAs
Mute Swan	no	250 000	1	1.74	50.5	no	others	3250	10.4±0.9
Bean Goose	no	592 500	0	32.81	67.3	yes	geese	7500	64.7±6.9
Greater White-fronted Goose	no	1 310 000	1	26.91	70.5	yes	geese	31,550	94.3±1.9
Greylag Goose	no	666 000	1	4.40	54.0	yes	geese	4700	74.1±3.9
Eurasian Wigeon	no	1 800 000	0	5.26	61.5	no	dabbl. duck	220	16.6±2.7
Gadwall	yes	172 500	1	5.28	49.5	no	dabbl. duck	120	37.0±5.4
Common Teal	yes	1 565 000	1	1.34	54.5	no	dabbl. duck	600	29.1±4.6
Mallard	no	4 500 000	0	0.90	53.5	yes	dabbl. duck	179,500	20.6±1.4
Common Pochard	no	1 100 000	-1	6.37	49.0	yes	diving duck	1450	10.4±2.7
Tufted Duck	no	1 800 000	0	2.34	58.0	yes	diving duck	4950	8.2±2.1
Greater Scaup	no	310 000	-1	33.48	65.0	no	diving duck	88	9.5±3.1
Velvet Scoter	no	450 000	-1	27.43	63.5	no	diving duck	66	43.1±9.2
Common Goldeneye	yes	1 350 000	0	2.66	58.5	no	diving duck	1075	14.6±3.2
Smew	yes	75 000	0	16.74	63.0	no	fish-eat	90	46.6±7.1
Goosander	yes	266 000	-1	1.79	60.0	no	fish-eat	3500	20.0±1.8
Little Grebe	yes	405 000	1	4.42	43.3	no	others	650	10.8±0.8
Great Crested Grebe	yes	1,080 000	-1	24.98	48.0	no	fish-eat	280	13.7±4.4
Great Cormorant	yes	392 500	1	1.73	50.0	no	fish-eat	12,050	16.1±1.5
Great White Egret	yes	46 550	1	1.50	45.0	no	fish-eat	780	47.7±2.4
Grey Heron	no	497 000	1	1.79	54.0	no	fish-eat	2600	23.1±0.8
Eurasian Moorhen	no	3 900 000	0	3.34	44.5	no	others	575	48.0±3.8
Eurasian Coot	no	4 250 000	0	1.40	50.5	yes	others	10,750	3.1±0.5
Black-headed Gull	no	5 535 000	0	2.21	54.0	no	gulls	10,100	35.3±7.4
Mew Gull	no	1 850 000	-1	1.82	60.5	no	gulls	2600	13.7±3.3
large gulls	no	2 804 250	1	8.00	53.5	no	gulls	3950	11.7±4.4
White-tailed Sea-eagle	yes	18 000	1	3.48	56.5	no	fish-eat	150	51.6±3.0
Common Kingfisher	yes	239 000	0	2.90	48.5	no	fish-eat	210	14.7±0.5
White-throated Dipper	no	500 000	0	4.48	53.5	no	others	585	9.9±0.4

659 Notes: Protected – the protection status, Flyway pop. size/trend – Flyway population size/  
660 Flyway population trend (Wetlands International 2015), Index – Water-type specialisation  
661 index, Latitude – Latitudinal midpoint (Snow and Perrins 1998, Lemoine et al. 2007), Hunted  
662 – hunted species in the Czech Republic, Group – eco-taxonomic group (Snow and Perrins  
663 1998), % SPAs – mean proportions of numbers in SPAs ± SE.

665 Table 2. The effects of independent variables on proportions of numbers in SPAs (average  
 666 partial effects, based on a generalized linear model with species-specific random effects).

	(1)	(2)	(3)
Year	0.200*** (0.000)	-0.155*** (0.000)	-0.151*** (0.000)
Hunting/protection			
– Hunted species	ref.	ref.	ref.
– Non-hunted species	-0.49 (0.101)	-0.84 (0.600)	12.58 (0.707)
– Protected non-hunted species	-0.49 (0.608)	26.40 (0.503)	44.10 (0.211)
Log of flyway population size		-0.224 (0.051)	-0.391*** (0.000)
Flyway population trend		36.20* (0.039)	-0.261 (0.932)
Log of Czech population estimate		0.219*** (0.000)	0.215*** (0.000)
Latitudinal midpoint		6.807** (0.002)	2.234 (0.230)
Water-type specialization index		22.60 (0.118)	8.771 (0.414)
Eco-taxonomic group			
– Dabbling ducks			ref.
– Diving ducks			-0.45* (0.040)
– Fish-eaters			-0.67* (0.047)
– Geese			77.89* (0.013)
– Gulls			39.33 (0.211)
– Others			-0.40** (0.003)
<i>P</i> (group)			0.000
Observations	336	336	336

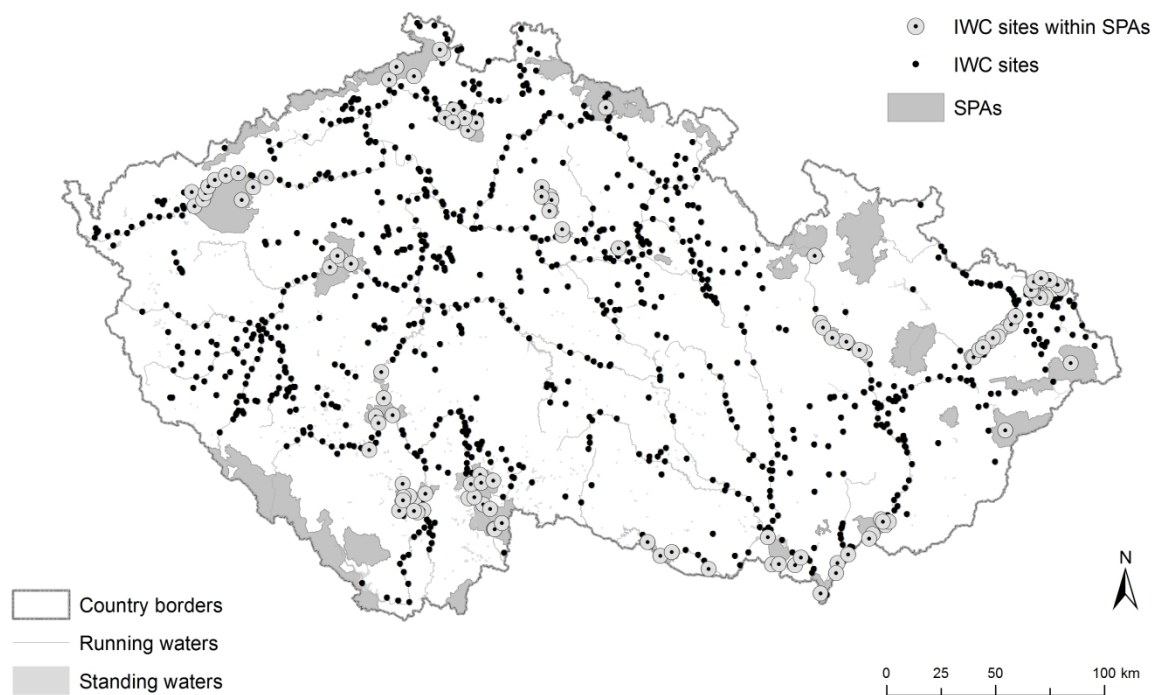
667 Notes: (i) P-values in parentheses (ii) \*  $P < 0.05$ , \*\*  $P < 0.01$ , \*\*\*  $P < 0.001$ .

668 Table 3. Changes in numbers of 28 investigated species inside and outside SPA network (the  
 669 overall area is also included)

Common name	Inside SPA (overall slope±SE)	trend	Outside SPA (overall slope±SE)	trend	All wetlands (overall slope±SE)	trend	Difference in trends: inside – outside (Wald test)
Mute Swan	-0.023±0.020	U	-0.061±0.001	MD**	-0.056±0.008	MD**	1.53
Bean Goose	0.174±0.188	U	0.061±0.067	U	0.129±0.069	U	0.09
Greater White-fronted Goose	0.262±0.065	SI**	0.477±0.061	U	0.276±0.037	SI**	196.12---
Greylag Goose	0.076±0.023	MI**	0.181±0.047	SI**	0.101±0.017	SI**	42.77---
Eurasian Wigeon	-0.027±0.052	U	0.061±0.015	MI**	0.053±0.014	MI**	5.82-
Gadwall	-0.013±0.081	U	0.152±0.025	SI**	0.084±0.026	MI**	27.35---
Common Teal	-0.144±0.034	SD**	0.053±0.015	MI**	-0.018±0.012	S	63.36---
Mallard	0.015±0.011	S	0.007±0.004	MI**	0.009±0.004	MI**	1,65
Common Pochard	0.156±0.078	U	0.082±0.012	SI**	0.083±0.011	SI**	1.51
Tufted Duck	0.269±0.092	SI*	-0.003±0.007	S	0.013±0.007	S	58.22+++
Greater Scaup	0.267±0.220	U	0.228±0.056	SI**	0.226±0.048	SI**	#
Velvet Scoter	0.299±0.168	U	0.147±0.056	MI*	0.178±0.050	SI*	#
Common Goldeneye	0.180±0.031	SI**	0.033±0.010	MI**	0.054±0.009	MI**	42.97+++
Smew	0.129±0.047	MI*	-0.086±0.034	MD**	0.019±0.021	U	26.80+++
Goosander	0.067±0.014	MI**	0.028±0.008	MI**	0.037±0.007	MI**	9,68++
Little Grebe	0.039±0.032	U	0.006±0.008	S	0.008±0.008	S	1,28
Great Crested Grebe	0.422±0.604	U	0.115±0.024	SI**	0.171±0.025	SI**	34.40+++
Great Cormorant	0.038±0.018	MI*	-0.026±0.007	MD**	-0.016±0.007	MD**	13.52++
Great White Egret	0.134±0.024	SI**	0.116±0.013	SI**	0.121±0.011	SI**	1.30
Grey Heron	0.002±0.012	S	-0.024±0.005	MD**	-0.018±0.004	MD**	6.95++
Eurasian Moorhen	0.085±0.054	U	-0.006±0.010	S	-0.004±0.009	S	2.98
Eurasian Coot	0.078±0.029	MI**	-0.003±0.007	S	-0.001±0.006	S	5.54+
Common Gull	0.048±0.1058	U	-0.033±0.027	U	-0.003±0.020	S	24.87---
Black-headed Gull	0.218±0.218	U	0.027±0.008	MI**	0.044±0.008	MI	2.96
large gulls	-0.011±0.110	U	0.258±0.034	SI**	0.054±0.016	MI**	57.98---
White-tailed Sea-eagle	0.014±0.022	U	0.029±0.016	U	0.021±0.012	S	0.37
Common Kingfisher	-0.020±0.023	U	-0.032±0.010	MD**	-0.030±0.009	MD**	0.11
White-throated Dipper	0.023±0.022	U	0.012±0.007	S	0.013±0.007	S	0.47

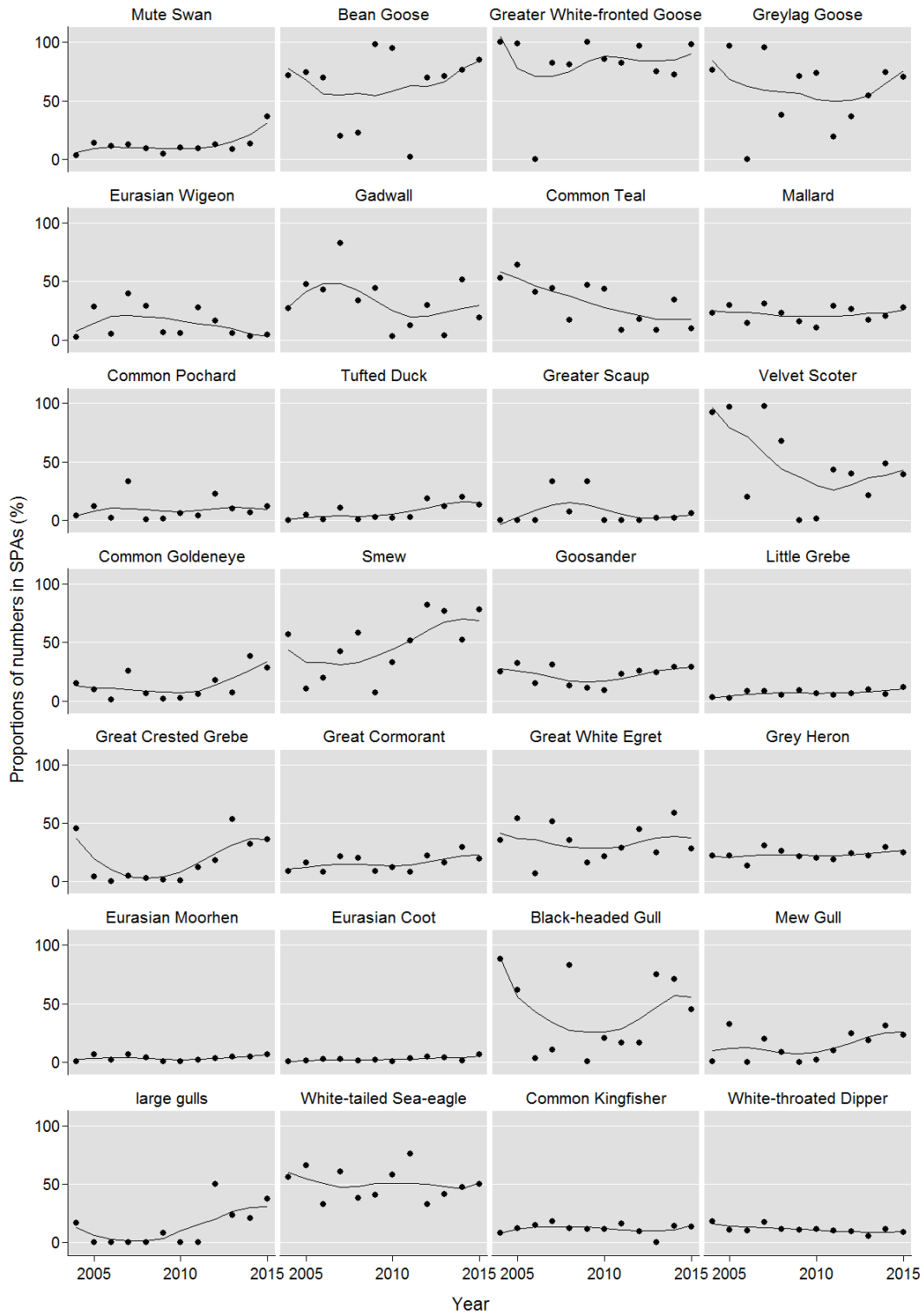
670 Notes: (i) \*  $P < 0.05$ , \*\*  $P < 0.01$ , \*\*\*  $P < 0.001$ . (ii) Categories of trends: SI – strong  
 671 increase, MI – moderate increase, S – stable, MD – moderate decrease, SD – strong decrease,  
 672 U – uncertain. (iii) # indicates that the EM algorithm in TRIM failed to converge. (iv)  
 673 Significance of the difference in trends inside and outside SPA is based on a Wald test and is  
 674 indicated together with the sign of the difference as follows: +/-  $P < 0.05$ , +/--  $P < 0.01$ ,  
 675 +++/- - -  $P < 0.001$ .

677 Figure 1. Location of investigated wetland sites



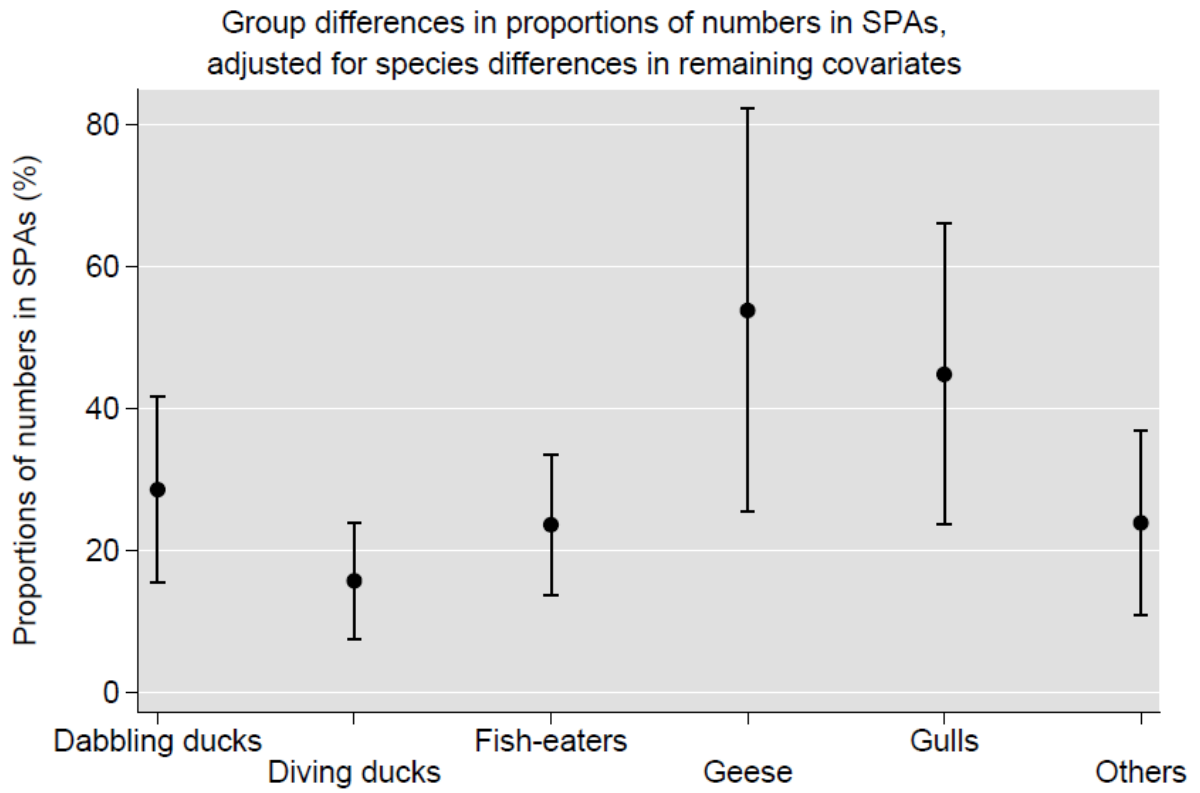
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680 Figure 2. Trends in proportions of numbers in SPAs in individual species (2004–2015). Trend  
 681 curves are estimated by LOWESS (locally weighted scatterplot smoothing) with a bandwidth  
 682 of 0.8.

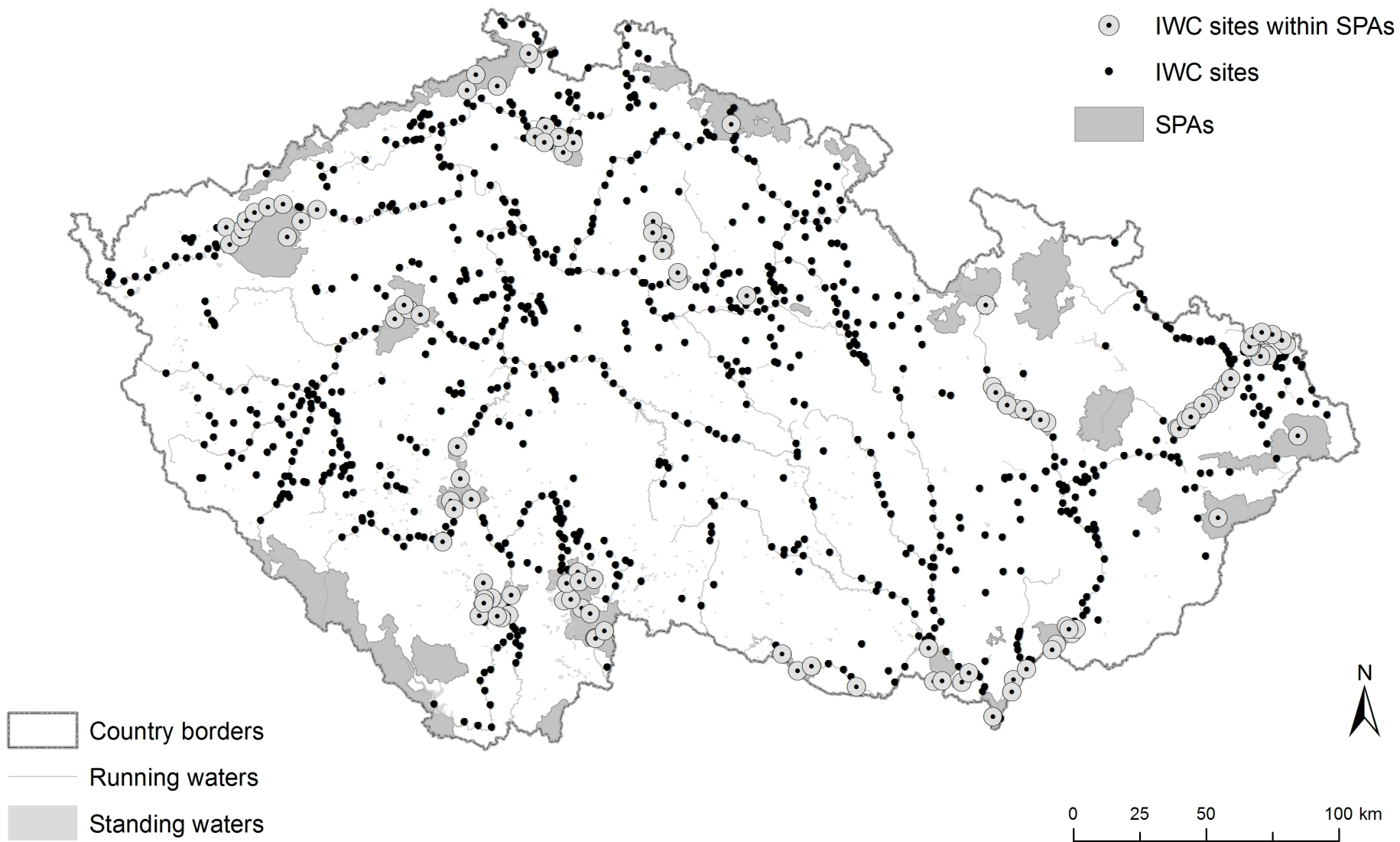


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685 Figure 3. Proportions of numbers in SPAs in six eco-taxonomic groups.



686







Group differences in proportions of numbers in SPAs,  
adjusted for species differences in remaining covariates

